PAL Leasing Framework Overview

WINNF Webinar: 'We got PAL, Now What? The Future of CBRS.'

27 May 2021

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Document: based upon WINNF-I-21-00066 V2

Committee: WG5: PALS & PPA Use Task Group

Title: PAL Leasing Framework Overview:

Other Documents:

• WINNF-RC-5004-V1.0.0 FCC ULS PAL Sales and Leasing Agreements Data Recommendations

- FCC declined to consider making changes to ULS Leasing Database & Records
- WINNF-TS-5007-V0.0.0-r5.0 (IR1) PAL Sales and Leasing Framework (formerly WINNF-I-00142)
 - assumed changes to FCC ULS Leasing Records Database
 - now requires rework
 - SASs now proving in ULS Leasing Records Database and APIs



New WINNF Concepts and Proposals

Back Reporting: Managing SAS reports vetted PPAs back to claimant for Market Making Purposes. Claimant may share these with Market Makers so preexisting claimed PPAs are known.

Pre-cursor areas: sub areas owned or leased that are to be disaggregated and leased or sold in a transaction. For vetting, the Transferred area(s) + Retained area(s) should sum to the Pre-Cursor area. There should be no gaps nor overlaps.

Transferred Sub-areas: sub areas transferred by leasing (or sales) agreements are filed as new ULS files that define this disaggregated area(s).

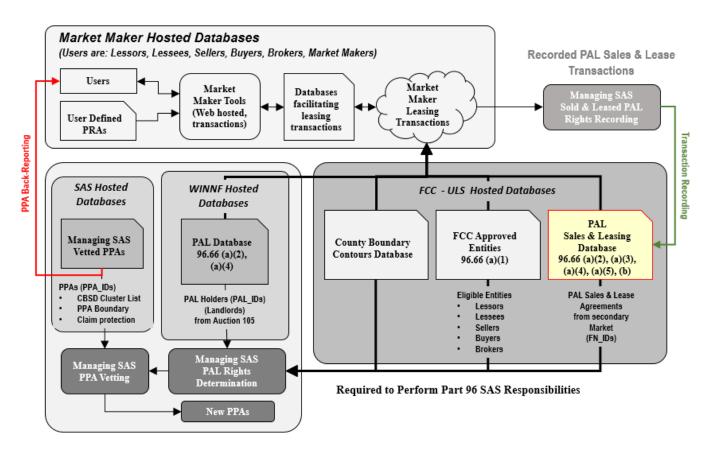
Retained Sub-areas: Additionally, after such area disaggregation, Retained sub-areas are also filed as new ULS files. This avoids the 'recursion' problem in determining Retained Areas retained by seller or lessor.

Light Touch Leasing Paradigm: Automated filing methods for Leases into ULS database for pre-authorized lessees.

Light Touch Paradigm applied to Sales: Automated methodology also applied to Secondary Market Sales.

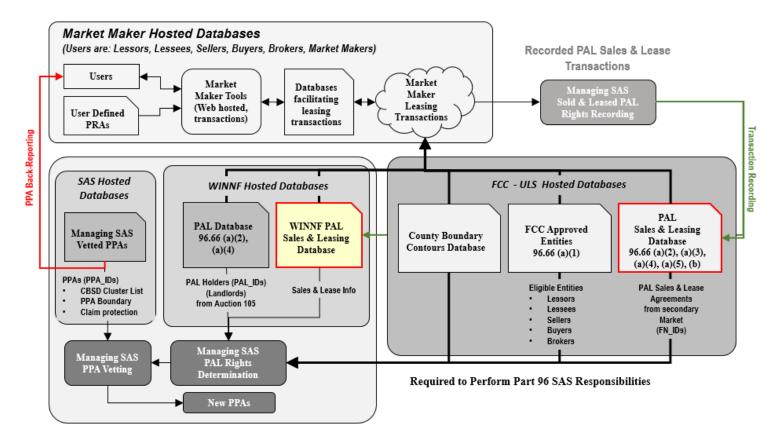


Database Framework with FCC ULS





Database Framework with FCC ULS and WINNF Information database





WINNF Proposed Information set:

Recorded Information for PAL Leases (or Sales) Transactions:

- File Number FN_ID: unique record identifier created for every transaction
- Record Creator ID: identify the market maker, broker or managing SAS
- Sales vs Lease indicator: to handle both leases and sales under same Light Touch paradigm
- Seller ID and Buyer ID, or Lessor ID and Lessee ID: identify participants by FCC FRN
- Original PAL ID: original provenance, PAL slot
- > Original FN ID (precursor area) [polygon area]: to properly vet the area transaction, provenance
- (new) (1) Transferred FN ID, [polygon area(s) being transferred]: the new FN ID
- > (new) (2) Retained FN ID [polygon area(s) being retained]: another new FN ID
- Transaction Start Time & Date
- End Date (for Leases)
- Record Time Stamp



WINNF desired information not included in FCC ULS Files & Database

<u>Information not in 608 Form or FCC ULS:</u>

Record Creator ID: - identifies the market maker, broker or managing SAS

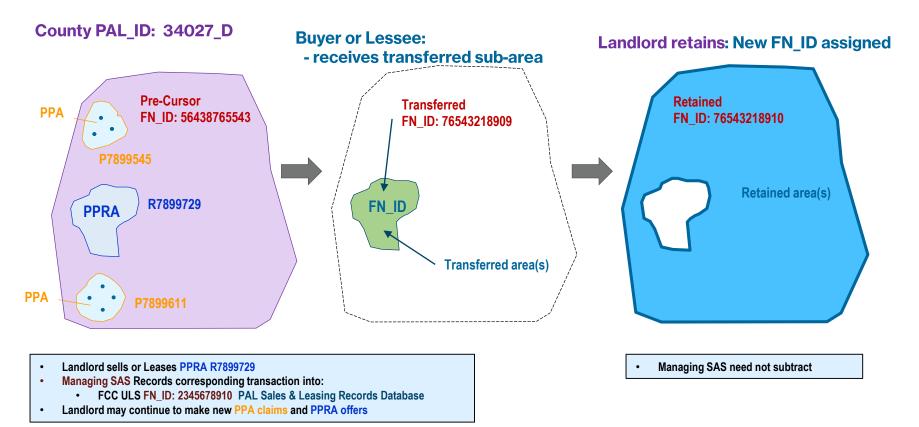
Sales vs Lease indicator: - to handle both leases and sales under same Light Touch paradigm

Original FN ID (precursor area): - [polygon area]: to properly vet the area transaction, provenance

(new) (2) Retained FN ID: - [polygon area(s) being retained]: another new FN ID

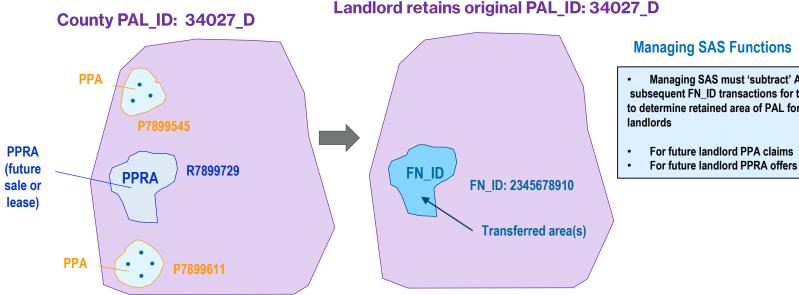


Concept of Filing Retained Areas





Without Retained Areas and Requiring 'Recursion' Assessment



- **Managing SAS Functions**
- Managing SAS must 'subtract' ALL subsequent FN ID transactions for that PAL to determine retained area of PAL for
- For future landlord PPA claims

- Landlord sells or Leases PPRA R7899729
- Managing SAS Records corresponding transaction into:
 - FCC ULS FN_ID: 2345678910 PAL Sales & Leasing Records Database
- Landlord may continue to make new PPA claims and PPRA offers

